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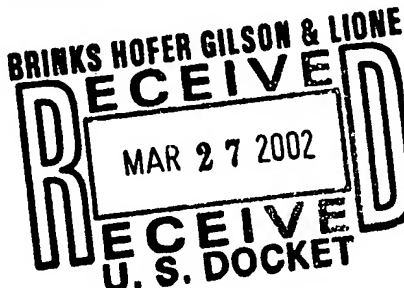
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,334	02/14/2002	Koichi Nakata	9333/285

00757

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 7347

FORMALITIES LETTER



\*OC000000007650296\*

Date Mailed: 03/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Tram Chan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2002 AOSMAN1 00000035 10078334

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#3

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on April 17, 2002

Date of Deposit

James P. Naughton, Reg. No. 30,665

Name of applicant, assignee or  
Registered Representative

*James P. Naughton*  
Signature  
April 17, 2002

Date of Signature

Case No. 9333/285

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kiochi Nakata

Examiner: Not Assigned

Serial No.: 10/078,334

Group Art Unit No.: 2641

Filing Date: February 14, 2002

For: METHOD AND APPARATUS FOR  
SPEECH INPUT GUIDANCE

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated March 15, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

☒ Applicant is:

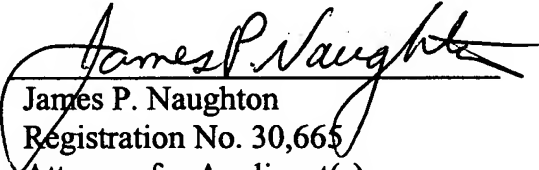
☐ a small entity, verified statement is:

☐ attached

- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$170.00 for:
- ☐ Filing Fee of \$\_\_\_\_\_.
- ☒ Surcharge of \$130.00 plus \$40.00 for recording Assignment.
- ☐ Additional Claim Fees of \$\_\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: April 17, 2002

  
James P. Naughton  
Registration No. 30,665  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Oct.-01

**TRANSMITTAL LETTER**

Serial No. <b>10/078,334</b>	Filing Date <b>February 14, 2002</b>	Examiner <b>Not Assigned</b>	Case No. <b>9333-285</b>
Inventor(s) <b>Kolchi Nakata</b>			Group Art Unit <b>2641</b>
Title of Invention <b>METHOD AND APPARATUS FOR SPEECH INPUT GUIDANCE</b>			

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TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate), Response to Notice to File Missing Parts of Application, Response Date Granted (in duplicate), Notice to File Missing Parts of Nonprovisional Application, Declaration for Patent Application and Power of Attorney, Record of Assignment, Assignment, Two checks- one for \$130.00 & one for \$40.00, and Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x 42 =		x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =		+ \$280 =	
					Total add'l fee	\$	Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ Two checks are enclosed -- one for \$130.00 for the surcharge; the other for \$40.00 for the Record of Assignment fee.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*James P. Naughton*  
James P. Naughton  
Registration No. 30,665  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 17, 2002.

Date: April 17, 2001

Signature: *James P. Naughton*